



Australian Government

Australian Fisheries Management Authority

**IUU Vessel Lists, why they are important,
where they can be found, use and
challenges for the management of IUU
vessel entry**

**CCAMLR/NEPAD IUU workshop
Cape Town, 24-27 July 2012**

IUU vessel lists

Why they are important

- Flag state control and Law of the Sea
- Aid for inspectors Prohibiting entry and port services

Where to find them?

- internet resources
- Information sharing
- Official and other sources

Use and Challenges ?

- RFMO procedures and unwilling flag states
- Currency and validity



Importance of IUU Vessel Lists

A tool to assist in the information sharing to combat IUU fishing activities

Used by many regional fisheries management organisations (RFMO) and increasingly some countries and regional economic communities

Listing generally attaches certain restrictions on the vessels mainly attach certain penalties to vessels restriction of port access or unloading prohibitions

Some allow listing of only fishing vessels though most extend to the listing of support vessels such as carrier and reefer vessels.



Importance of IUU vessel lists

- RFMO listing procedures generally quite detailed
- Involves notification of suspected IUU activity
- Circulation of information through RFMO member countries and to flag State if not a member by Secretariat
- Notification time frames for flag State and member response to address allegation
- Most RFMO systems have a provisional and a final listing system



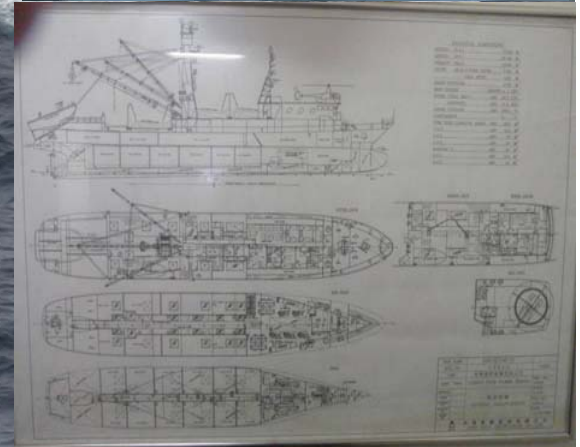
Importance of IUU vessel lists

IUU Status comparable to a warrant, attaches to vessel indefinitely, until *delisting* or *disposal*

Delisting generally available when:

- vessel (IUU operators) have been adequately sanctioned
- vessel is sold and new owner has no connection with previous IUU activity (beneficial ownership),
- or some action commensurate with the alleged IUU activity has been taken or steps been taken to prevent further IUU activity

An IUU listed vessel which asserts it is not longer an IUU or has changed ownership can be denied access on the basis of its listed status – and should be advised of the delisting procedure



Importance of IUU vessel lists

Allows government authorities ability to determine either:

- deny entry into port (when the vessel is either identified as an IUU vessel or for another reason (often lack of information) and country denies access). Forces vessel further a field, delays and prohibits them landing catch and fish entering market.
- allow entry with the intention to inspect (if the vessel has been identified as being involved in IUU activities or suspicious of IUU activities) the results of the inspection will determine further action



Where are IUU lists located?

Publically available, usually through internet or direct contact with the issuing organisation/body

CCAMLR <http://www.ccamlr.org/pu/e/sc/fish-monit/iuu-vess.htm>

WCPFC <http://www.wcpfc.int/vessels#IUU>

IOTC <http://www.iotc.org/English/iuu/search.php> (contains links to ICCAT and IATTC)

IATTC <http://www.iattc.org/VesselRegister/IUU.aspx?Lang=en>

ICCAT <http://www.iccat.es/en/IUU.asp>

NAFO <http://www.nafo.int/fisheries/frames/fishery-iuu.html>

NEAFC <http://www.neafc.org/blist>

SEAFO <http://www.seafo.org/IUU%20Vessel%20List/Vessel%20List.htm>



Example IUU List CCAMLR

NON-CONTRACTING PARTY IUU VESSEL LIST (CM 10-07) ADOPTED 2003 – 2012

Names and flags under which the vessels were originally listed are underlined

<i>Current name</i>	<i>Current flag</i>	<i>Lloyds/IMO no.</i>	<i>Current call sign</i>	<i>Nature of activity¹</i>	<i>Date(s) of incident</i>	<i>Year listed</i>	<i>Ownership history² (last reported is underlined)</i>	<i>Previous name(s)³</i>	<i>Previous flag(s)³</i>
<u>Aldabra</u>	Tanzania	7424891	5VAA2	Fishing inside Division 58.4.4b	10 Nov 06	2007	Cecibell Securities (Panama) <u>Farway Shipping</u>	1. <u>Omoa 1</u>	1. Honduras 2. <u>Togo</u>
<u>Amorim</u>	Unknown	7036345	5VAN9	Inside Division 58.4.2 Inside Division 58.5.1	23 Jan 04 11 Oct 03	2003	Infiteco (1998) Seric Business SA (Panama) <u>Sold to undisclosed interests (Jul 03)</u>	1. <u>Noemi</u> 2. <u>Lome</u> 3. <u>Iceberg II</u>	1. Belize 2-3. Togo
<u>Challenge</u>	Panama	6622642	HO5381	Inside Division 58.4.3b Inside Division 58.4.3b Inside Division 58.4.3b Inside Division 58.4.3b	14 Feb 06 22 May 06 10 Dec 06 08 Feb 08	2006	Prion Ltd Mercury Ltd Mar de Neptuno SA Advantage Company SA	1. <u>Mila</u> 2. <u>Perseverance</u>	1. UK 2. <u>Equatorial Guinea</u>
<u>Perlon</u>	Unknown	5062479	JVHJ4	Inside Division 58.5.1 Inside Division 58.5.1 Inside Division 58.4.2 Inside Division 58.4.3b Fishing inside Division 58.4.1 Inside Division 58.4.3b Inside Division 58.4.1 Inside Division 58.4.1 Fishing inside Division 58.5.1	03 Dec 02 04 Jun 03 21 Jan 04 11 Dec 05 26 Jan 06 07 Dec 06 30 Dec 06 16 Dec 08 08 Jun 10	2003	Jose Lorenzo SL (80) Americagalaica S.A. (Panama)	1. <u>Lugalpesca</u> 2. <u>Hoking</u> 3. <u>Sargo</u> 4. <u>Bigaro</u> 5. <u>Cherne</u>	1. <u>Uruguay</u> 2-4. Togo 5. Mongolia
<u>Good Hope</u>	Nigeria	7020126	5NMU	Resupplying in Area 51	09 Feb 07	2007	<u>Sharks Investments AVV (05)</u> Manager: Port Plus Limited (Nigeria) (Sep 08)	1. <u>Sea Ranger V</u> 2. <u>Toto</u>	1-2. <u>Belize</u>
<u>Heavy Sea</u>	Panama	7322926	3ENF8	Inside Division 58.5.1 Inside Division 58.5.1	20 Dec 02 03 Feb 04	2004	C&S Fisheries (Sep 96) <u>Muner SA (00)</u> Barroso Fish SA (Panama)	1. <u>Sherpa Uno</u> 2. <u>Keta</u> 3. <u>Duero</u>	1. <u>Uruguay</u> 2. Unknown 3. Panama
<u>Ray</u>	Belize	6607666	Unknown	Fishing inside Division 58.4.3b Fishing inside Division 58.4.2 Fishing inside Division 58.4.3b Fishing inside Division 58.4.3b Fishing inside Division 58.4.3b Fishing inside Division 58.4.3b	22 May 06 18 Feb 07 24 Mar 07 12 Jan 08 09 Jan 09 20 Jan 09	2006	Arniston Fish Processors (Pty) Ltd Pesca Antartida <u>Nalanza S.A., (Canary Islands)</u>	1. <u>Isla Graciosa</u> 2. <u>Tropic</u> 3. <u>Constant</u> 4. <u>Kily</u>	1. South Africa 2. <u>Equatorial Guinea</u> 3. Mongolia
<u>Koosha 4</u>	Iran	7905443	9BQK	Inside Division 58.5.1 Inside Division 58.5.1	20 Jan 11 15 Feb 11	2011	ParsPaya Seyd Industrial Fish (Iran)	<u>Eguzkia</u>	1. Spain

Combined lists and other sources

Some RFMO (e.g. SEAFO combine and recognise other RFMO lists)

Collectives of RFMO's e.g. Tuna RFMO's have a collective list e.g. Tuna-Org (<http://www.tuna-org.org/vesselneg.htm>)

Many initiatives through NGO's (non government organisations), fisheries organisations to combine IUU lists into one resource or developed their own lists to deter illegal fishing activity by bringing attention to specific vessels, and as well as flag States that are contributing to the global problem of IUU fishing.

- <http://www.portstateperformance.org/index.php/pages/vessellist>
- <http://iuu-vessels.org/iuu>

Excellent general resources, but verify against official list from the listing organisation IUU lists are subject to change and amendment



Uses and Challenges

- Most RFMO make decisions based on consensus, procedures are fair and transparent but have weaknesses
- Consensus gives conservation measures validity and credibility but creates loopholes for members who are able to prevent compliance action against themselves
- Due to the implications of listing for vessels members of RFMO's are unlikely to support the inclusion of their own flag vessels on IUU lists
- Most current IUU lists contain few vessels flagged to members of the RFMO's e.g. IOTC – 1, CCAMLR – 0, WCPFC – 1, IATTC – 1



Information Sharing, Uses and Challenges

Lists are not exhaustive in identifying every known IUU

Vessels seeking entry may have IUU fished, and port entry and inspection will generate evidence sufficient to have a vessel IUU listed

Most RFMO's have a processing timeframes for updating IUU lists and IUU vessel details may have changed since inclusion and a port notification making identification more difficult

Consider inspecting IUU vessels, or have other similar details as known former, current, or new names, IMO numbers or history.

Sometimes the best and most current source of information you will have is your own records database and information from counterparts in other countries and the Region





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QUESTIONS?

